

Fifteenth Special Master Report (Filed Feb. 16, 2010)

Area	Compliance	Source	Page
DENTAL SERVICES			
Overall	Substantial	"Dr. Sauter found DJJ facilities substantially compliant with most <i>Farrell</i> requirements."	1
Staffing	-	"During the time of Dr. Sauter's audits, DJJ's dental staffing was excessive. DJJ implemented its new staffing model on March 2, 2010..." "...planned reductions in staff may present a new challenge to DJJ's ability to provide acceptable dental treatment to youth."	1
Organizational	Partial	"DJJ has not yet provided an updated organizational chart depicting a reporting relationship between the Chief Dentist and DJJ's Medical Director."	2
MEDICAL CARE			
Overall	Partial	"There has been major improvement in compliance ratings since the Experts' second round. Of 101 individual facility audit items that the Experts rated in both years, 84% (83%) had improved."	4
Urgent/ Emergency Care	Partial	"Three of six facilities had decreased compliance scores for urgent/emergency care, which had one of the lower cumulative compliance ratings for the year, at 74%... The slippage...relates to record-keeping practices primarily..."	5
Pharmacy Services	Substantial	"All facilities achieved a 100% score for compliance in pharmacy services."	7
Medical Care	Substantial	"In the most recent report, for fiscal year 2008-2009, Dr. Goldenson's rating for medical care was 92%..."	7
Peer Review	Partial	"Implementation of the policy was completed during fiscal year 2008-2009...The next important step ...is for DJJ to require that peer reviewers select charts for review that are most likely to reflect care of serious medical care problems... This might explain the failure of peer review to detect serious deficiencies in the practice of a physician at the Preston facility."	8
Quality Management	Partial	"The Experts make the same recommendations in their current report as they did a year ago..."	9
Facility Leadership & Resources	Partial	"DJJ is reducing medical staffing, which the Medical Experts have recommended.... The Medical Experts challenged managers at O.H. Close to solve the serious inadequacy of clinical space there."	10
Health Care Records	Partial	"...developed unified health system and health care information policies... Next, DJJ needs a health records manual... needs a <i>credentialed</i> Health Program Specialist II on staff... DJJ's staff health records manager is not credentialed... which the Medical Experts find to be in violation of the remedial plan."	10-11
Chronic Disease Management	Substantial	"DJJ's system-wide compliance rating in this area rose from 68% to 93%... due to improved compliance with policies and procedures..."	11
Sanitation	-	"They also found substantial improvement in the sanitation of health care units and sick call areas."	11
Central Office Oversight	Non	"Due to application of restrictions on travel, Central Office health services managers are not visiting facilities on a regular basis."	11
Health Services Organization	Partial	"DJJ is very close to compliance in these two areas, but did not correct problems that the Experts identified last year."	12
Reception	Partial	The compliance rating in 2008-2009 of 68% for medical reception is DJJ's lowest compliance score under the Health Care Services Remedial Plan."	12
Nursing Sick Call	Partial	"...protocols were not developed and implemented in fiscal year 2008-2009... The 72% compliance rating is still one of the lowest for areas under the Healthcare Services Remedial Plan."	13

Utilization Data	-	"DJJ has agreed to collect and analyze medical resource utilization data."	13
Medical Contracting	Partial	"Given that they only encountered a few contracting problems during the third auditing round, the Experts believe CDCR has resolved the serious systemic issues that once affected medical contracting. The Experts still find problems at individual facilities, however, related to the lead time necessary to conclude a contract and the impediments to concluding a contract for routine as opposed to emergency services."	14
Inter-disciplinary Collaboration & Dispute Resolution Protocol	-	"The Medical Experts report improved cooperation between health care and custody staff. This is based largely on the absence of reports of any problems, compared to the early years of this case... DJJ has provided ... a new draft protocol... This is the proposed 'dispute resolution protocol' required by the Safety & Welfare and Mental Health Remedial Plans."	14-15
POPULATION, STAFFING, AND BUDGET CHANGES			
Overall	-	"DJJ has faced multiple external pressures in the last few months. Most significant among these is the fiscal crisis the state is confronting. A hiring freeze, lay-off plans, and travel bans are some of the direct impacts of the fiscal crisis. In addition, DJJ has created a new system-wide staffing model and has closed the Heman G. Stark facility."	15-16
Youth Transfer	-	"DJJ involved the Experts, the OSM, and plaintiff's counsel in the early stages of this planning."	17
Staff Transfer	-	"DJJ was not as effective at coordinating staffing changes with youth transfers. ... The most significant staffing problem has arisen at Ventura. Ventura did not receive adequate custody staffing for the large numbers of new youth transfers." "On February 24, 2010, when reassigned staff were to report for their new assignments, only seven of twelve expected education staff arrived."	18, 22
Mental Health Staffing at Ventura	-	"In early February 2010, Ventura still had mental health vacancies, and Central Office had only approved one of the Chief Psychologist's four hiring freeze exemption requests. Mental health staffing issues were not resolved until early March 2010."	19
Education Staffing at Ventura	-	"Ventura's education staffing difficulties have been the most concerning, and they continue unresolved as of this writing. Sixty-four of Ventura's new youth are high school students, which represents an approximately 23% increase. [...] Ventura's substitute pool, though larger than usual, was insufficient to cover classes for the growing student body. By early February, the lack of teaching staff was causing cancellations and was affecting instruction quality."	19-21
Program Space	-	"They also found that Ventura had too few classrooms to accommodate its increased student body."	21-2
Filling Vacancies	-	"To hire additional teachers, Ventura's school must obtain hiring freeze exemptions. The exemption request process takes four months, but CDCR Personnel rules prevented the school from initiating the requests until staff transfers took place..."	22
Transition to a Stand-alone CTC	-	"Staff at the now stand-alone Correctional Treatment Center (CTC) at Stark reports difficulties arising from the new staffing model... Currently the CTC does not appear to have sufficient relief factor for its nurses, particularly in light of furlough days. Coverage of all shifts is achieved through the use of overtime. Should a nurse take an extended leave, the CTC would be in a very difficult situation..."	24